

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 15670-020001	Application No. 09/965,651
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR 1.98(b))		Applicant <b>Sanjay Nigam</b>	
		Filing Date September 25, 2001	Group Art Unit 1651

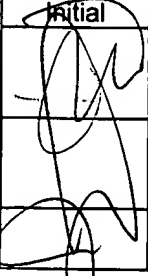
**U.S. Patent Documents**


Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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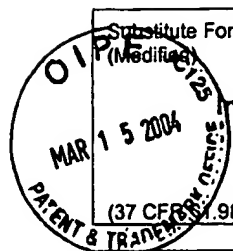
**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
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	AN							
	AO							
	AP							

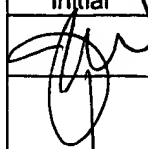
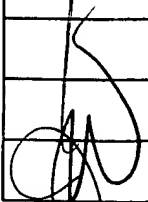
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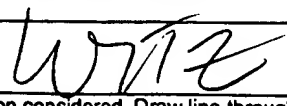
Examiner Initial	Desig. ID	Document
	AQ	Milner, et al., "A Novel 17 kD Heparin-Binding Growth Factor (HBGF-8) in Bovine Uterus: Purification and N-Terminal Amino Acid Sequence", <u>Biochemical and Biophysical Research Communications</u> , Vp./ 165, No. 3, pp. 1096-1103, December 29, 1989
	AR	Mitsiadis, et al., "Expression of the heparin-binding cytokines, midkine (MK) and HB-GAM (pleiotrophin) is associated with epithelial-mesenchymal interactions during fetal development and organogenesis", <u>Development</u> , Vol. 121, pp. 37-51, 1995
	AS	Sato, et al., "Pleiotrophin as a Swiss 3T3 Cell-Derived Potent Mitogen for Adult Rat Hepatocytes", <u>Experimental Cell Research</u> , Vol. 246, Number 1, pp. 152-164, January 10, 1999

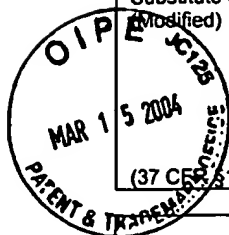
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	AT	Kurtz, et al., "Pleiotrophin and Midkine in Normal Development and Tumor Biology", <u>Critical Reviews in Oncogenesis</u> , Vol. 6, No. 2, pp. 151-177, 1995
	AU	Rauvala, et al. "Expression of HB-GAM (heparin-binding growth-associated molecules) in the pathways of developing axonal processes in vivo and neurite outgrowth in vitro induced by HB-GAM" <u>Developmental Brain Research</u> , Voll. 79, pp. 157-176, 1994
	AV	Imai, et al., "Osteoblast Recruitment and Bone Formation Enhanced by Cell Matrix-associated Heparin-binding Growth-associated Molecule (HB-GAM)", <u>The Journal of Cell Biology</u> , Vol. 143, Number 4, pp. 1113-1128, November 16, 1998
	AW	Tomita, et al, "Direct in Vivo Gene Introduction into Rat Kidney", <u>Biochemical and Biophysical Research Communications</u> , Vol. 186, No. 1, pp. 129-134, July 15, 1992
	AX	Zhu, et al., "Systemic Gene Expression After Intravenous DNA Delivery into Adult Mice", <u>Science</u> , Vol. 261, pp. 209-211, July 9, 1993
	AY	Moullier, et al., "Adenoviral-mediated gene transfer to renal tubular cells <i>in vivo</i> ", <u>Kidney International</u> , Vol. 45, pp. 1220-1225, 1994
	AZ	Montesano, et al., "Induction of Epithelial tubular Morphogenesis in Vitro by Fibroblast-Derived Soluble Factors", <u>Cell</u> , Vol. 66, pp. 697-711, August 23, 1991
	AAA	Bladt, et al., "Essential role for the c-met receptor in themigration of myogenic precursor cells into the limb bud", <u>Nature</u> , Vol. 376, No. 6543, pp. 68-771, August 31, 1995
	ABB	Schmidt, et al., "Scatter factor/hepatocyte growth factor is essential for liver development", <u>Nature</u> , Vol. 373, No. 6516, pp. 699-702, February 23, 1995
	ACC	Schuchardt, et al., "Renal agenesis and hypodysplasia in ret-k- mutant mice result from defects in ureteric bud development", <u>Development</u> , Vol. 122, No. 6, pp. 1919-1929, June, 1996
	ADD	Metzger, et al., "Genetic Control of Branching Morphogenesis", <u>Science</u> , Vol. 284, pp. 1635-1639, June 4, 1999
	AEE	Ohuchi, et al., "FGF10 Acts as a Major Ligand for FGF Receptor 2 IIb in Mouse Multi-Organ Development", <u>Biochemical and Biophysical Research Communications</u> , Vol. 277, No. 3, pp. 643-649, November 2, 2000
	AFF	Bullock, et al., "Renal agenesis in mice homozygous for a gene trap mutation in the gene encoding heparan sulfate 2-sulfotransferase", <u>Genes &amp; Development</u> , Vol. 12, No. 12, pp. 1894-1906, June 15, 1998
	AGG	Bullock, et al., "Developmental and species differences in the response of the ureter to metabolic inhibition", <u>European Journal of Physiology</u> , Vol. 436, No. 3, pp. 443-448, August, 1998
	AHH	Davies, et al., "Sulphated proteoglycan is required for collecting duct growth and branching but not nephron formation during kidney development", <u>Development</u> , Vol. 121, Issue 5, pp. 1507-1517, 1995
	AII	Kispert, et al., "Proteoglycans are required for maintenance of Wnt-11 expression in the ureter tips" <u>Development</u> , Vol. 122, pp. 3627-3637, 1996
	AJJ	Montesano, et al., "Identification of a Fibroblast-Derived Epithelial Morphogen as Hepatocyte Growth Factor", <u>Cell</u> , Vol. 67, No. 5, pp. 901-908, November 29, 1991
	AKK	Zelzer, et al., "Cell fate choices in <i>Drosophila</i> tracheal morphogenesis", <u>BioEssays</u> , Vol. 22, No. 3, pp. 219-226, March, 2000
	ALL	Enomoto, et al., "GFR $\alpha$ -1 Deficient Mice Have Deficits in the Enteric Nervous System and Kidneys", <u>Neuron</u> , Vol. 21, No. 2, pp. 317-324, August, 1998

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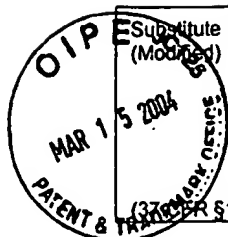


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**Other Documents (include Author, Title, Date, and Place of Publication)**

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	AMM	Imai, et al., "Towards gene therapy for renal diseases", <u>Nephrologie</u> , Vol. 18, No. 7, pp. 397-402, 1998
	ANN	Imai, et al., "Gene transfer and kidney disease", <u>Journal of Nephrology</u> , Vol. 11, No. 1, pp. 16-19, January-February, 1998
	AOO	Imai, et al., "Strategies of gene transfer fo the kidney", <u>Kidney</u> , Vol. 53, No. 2, pp. 264-272, February, 1998
	APP	Meng, et al., "Pleiotrophin signals increased tyrosine phosphorylation of $\beta$ -catenin through inactivation of the intrinsic catalytic activity of the receptor-type protein tyrosine phosphatase $\beta/\zeta$ ", <u>Proc. Natl. Acad. Sci.</u> , Vol. 97, No. 6, pp. 2603-2608, March 14, 2000
	AQQ	Vainio, et al., "Epithelial-Mesenchymal Interactions Regulate the Stage-Specific Expression of a Cell Surface Proteoglycan, Syndecan, in the Developing Kidney", <u>Developmental Biology</u> , Vol. 134, No. 2, pp. 382-391, August, 1989
	ARR	Vainio, et al., "Syndecan and Tenascin Expression is Induced by Epithelial-Mesenchymal Interactions in Embryonic Tooth Mesenchyme", <u>The Journal of Cell Biology</u> , Vol. 108, No. 5, pp. 1945-1954, May, 1989
	ASS	Ohuchi, et al., "Renal tubular effects of endothelin-B receptor signaling: its role in cardiovascular homeostasis and extracellular volume regulation", <u>Curr Opin Nephrol Hyperten.</u> , Vol. 9, No. 4, pp. 435-439, July, 2000
	ATT	Thadhani, et al., "Acute renal failure", <u>The New England Journal of Medicine</u> , Vol. 334, No. 2, pp. 1448-1460, May 30, 1996
	AUU	Bonventre, et al., "Acture renal failure. I. Relative importance of proximal vs. distal tubular injury", <u>Am. J. Physiol</u> , Vol. 275, No. 5, pp. F623-F631, November, 1998
	AVV	Molitoris, et al., "Acute renal failure. II. Experimental models of acute renal failure: imperfect but indispensable", <u>Am. J. Physiol. Renal Physiol.</u> , Vol. 278, No. 1, pp. F1-F12, January, 2000
	AWW	Fish, et al., "Alterations of Epithelial Polarity and the Pathogenesis of Disease States", <u>The New England Journal of Medicine</u> , Vol. 330, No. 14, pp. 1580-1588, April 7, 1994
	AXX	Tsukamoto, et al., "Tight Junction Proteins Form Large Complexes and Associate with the Cytoskeleton in an ATP D epletion Model for Reversible Junction Assembly", <u>The Journal of Biological Chemistry</u> , Vol. 272, No. 26, pp. 16133-16139, June 27, 1997
	AYY	Hammerman, et al., "Acute renal failure. III. The role of growth factors in the process of renal regeneration and repair", <u>Am. J. Physiol. Renal Physiol.</u> , Vol. 279, No. 1, pp. F3-F11, July, 2000
	AZZ	Gailit, et al., "Redistribution and dysfunction of integrins in cultured renal epithelial cells exposed to oxidative stress", <u>American Journal of Physiology</u> , Vol. 264, No. 1, pp. F149-F157, January, 1993
	AAAA	Lieberthal, et al., " $\beta$ Integrin-Mediated Adhesion between Renal Tubular Cells after Anoxic Injury", <u>Journal of the American Society of Nephrology</u> , Vol. 8, Issue 2, pp. 175-183, February, 1997
ABBB	Zuk, et al., "Polarity, integrin, and extracellular matrix dynamics in the postischemic rat kidney", <u>American Journal of Physiology</u> , Vol. 275, No. 3, pp. C711-C731, September, 1998	
	ACCC	Gumbiner, et al., "The Role of the Cell Adhesion Molecule Uvomorulin in the Formation and Maintenance of the Epithelial Junctional Complex", <u>The Journal of Cell Biology</u> , Vol. 107, No. 4, pp. 1575-1587, October, 1988
	ADDD	McNeill, et al., "Novel Function of the Cell Adhesion Molecule Uvomorulin as an Inducer of Cell Surface Polarity", <u>Cell</u> , Vol. 62, No. 2, pp. 309-316, July 27, 1990

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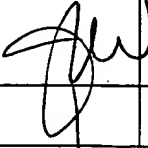
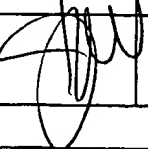
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 Applicant  
Sanjay Nigam

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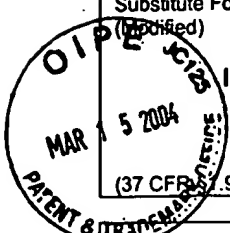
**Other Documents (include Author, Title, Date, and Place of Publication)**

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	AEEE	Mandel, et al., "ATP depletion: a novel method to study junctional properties in epithelial tissues. II. Internalization of Na <sup>+</sup> , K <sup>+</sup> -ATPase and E-cadherin", <u>Journal of Cell Science</u> , Vol. 107, Part 12, pp. 309-316, December, 1994
	AFFF	Tsukita, et al., "Structural and signalling molecules come together at tight junctions", <u>Current Opinion in Cell Biology</u> , Vol. 11, No. 5, pp. 628-633, October, 1999
	AGGG	Denker, et al., "Molecular structure and assembly of the tight junction", <u>American Journal of Physiology</u> , Vol. 274, No. 1, pp. F1-F9, January, 1998
	AHHH	Gopalakrishnan, et al., "Rho GTPase signaling regulates tight junction assembly and protests tight junctions during ATP depletion", <u>American Journal of Physiology</u> , Vol. 275, No. 3, pp. C798-C809, September, 1998
	AIII	Kuznetsov, et al., "Folding of Secretory and Membrane Proteins", <u>The New England Journal of Medicine</u> , Vol. 339, No. 23, pp. 1688-1695, December 3, 1998
	AJJJ	Van Why, et al., "Thresholds for cellular disruption and activation of the stress response in renal epithelia", <u>American Journal of Physiology</u> , Vol. 277, No. 2, pp. F227-F234, August, 1999
	AKKK	Gething, et al., "Protein folding in the cell", <u>Nature</u> , Vol. 355, No. 6355, pp. 33-45, January, 1992
	ALLL	Gabai, et al., "Rise in heat-shock protein level confers tolerance to energy deprivation", <u>FEBS Letters</u> , Vol. 327, No. 3, pp. 247-250, August, 1993
	AMMM	Georgopoulos, et al., "Role of the major heat shock proteins as molecular chaperones", <u>Annual Review of Cell Biology</u> , Vol. 9, pp. 601-634, 1993
	ANNN	Yoo, et al., "Anti-Inflammatory Effect of Heat Shock Protein Induction is Related to Stabilization of IκBα Through Preventing IκB Kinase Activation in Respiratory Epithelial Cells", <u>The Journal of Immunology</u> , Vol. 164, No. 10, pp. 5416-5423, May 15, 2000
	AOOO	Rauchman, et al., "An osmotically tolerant inner medullary collecting duct cell line from an SV40 transgenic mouse", <u>American Journal of Physiology</u> , Vol. 265, No. 3, pp. F416-F424, September, 1993
	APPP	Barasch, et al., "A ureteric bud cell line induces nephrogenesis in two steps by two distinct signals", <u>American Journal of Physiology</u> , Vol. 271, No. 1, pp. F50-F61, July, 1996
	AQQQ	Barasch, et al., "Ureteric bud cells secrete multiple factors, including bFGF, which rescue renal progenitors from apoptosis", <u>American Journal of Physiology</u> , Vol. 273, No. 5, pp. F757-F767, November, 1997
	ARRR	Laitinen, et al., "Changes in the Glycosylation Pattern During Embryonic Development of Mouse Kidney as Revealed with lectin Conjugates", <u>The Journal of Histochemistry and Cytochemistry</u> , Vol. 35, No. 1, pp. 55-65, 1987
	ASSS	Gilbert, et al., "Defect of Nephrogenesis Induced by Gentamicin in Rat Metanephric Organ Culture", <u>Laboratory Investigation</u> , Vol. 70, No. 5, pp. 656-666, May, 1994
	ATTT	O'Rourke, et al., "Expression of c-ret promotes morphogenesis and cell survival in mIMCD-3 cells", <u>American Journal of Physiology</u> , Vol. 276, No. 4, pp. F581-F589, April, 1999
	AUUU	Al-Awqati, et al., "Architectural patterns in branching morphogenesis in the kidney", <u>Kidney International</u> , Vol. 54, No. 6, pp. 1832-1842, December, 1998
	AVVV	Liu, et al., "Comparative Role of Phosphotyrosine Kinase Domains of c-ros and c-ret Protooncogenes in Metanephric Development with Respect to Growth Factors and Matrix Morphogens", <u>Developmental Biology</u> , Vol. 178, pp. 133-148, 1996

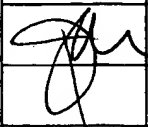
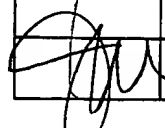
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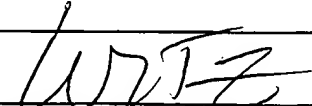
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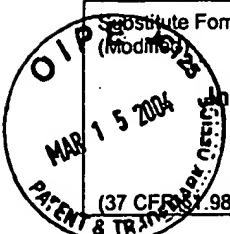
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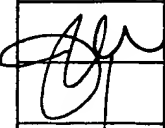
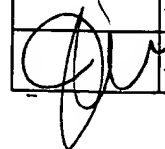
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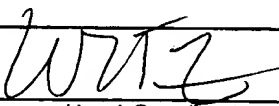
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	AWWW	Rauvala, et al., "An 18-kd heparin-binding protein of developing brain that is distinct from fibroblast growth factors", <u>The EMBO Journal</u> , Vol. 8, no. 10, pp. 2933-2941, 1989
	AXXX	Li, et al., "Cloning and Expression of a Developmentally Regulated Protein that Induces Mitogenic and Neurite Outgrowth Activity", <u>Science</u> , Vol. 250, No. 4988, pp. 1690-1694, December 21, 1990
	AYYY	Vanderwinden, et al., "Cellular distribution of the new growth factor Pleiotrophin (HB-GAM) mRNA in developing and adult rat tissues", <u>Anat. Embryol.</u> Vol. 186, pp. 387-406, 1992
	AZZZ	Qiao, et al., "Branching morphogenesis independent of mesenchymal-epithelial contact in the developing kidney", <u>Proc. Natl. Acad. Sci.</u> , Vol. 96, pp. 7330-7335, June, 1999
	AAAAA	Santos, et al., "Modulation of HGF-Induced Tubulogenesis and Branching by Multiple Phosphorylation Mechanisms", <u>Developmental Biology</u> , Vol. 159, pp. 535-548, 1993
	ABBBB	Santos, et al., "HGF-Induced Tubulogenesis and Branching of Epithelial Cells is Modulated by Extracellular Matrix and TGF- $\beta$ ", <u>Developmental Biology</u> , Vol. 160, pp. 293-302, 1993
	ACCCC	Santos, et al., "Involvement of Hepatocyte Growth Factor in Kidney Development", <u>Developmental Biology</u> , Vol. 163, pp. 525-529, 1994
	ADDDD	Barros, et al., "Differential tubulogenic and branching morphogenetic activities of growth factors: Implications for epithelial tissue development", <u>Proc. Natl. Acad. Sci.</u> Vol. 92, pp 4412-4416, May, 1995
	AEEEE	Pavlova, et al., "Evolution of gene expression patterns in a model of branching morphogenesis", <u>Am. J. Physiol. Renal Physiol.</u> , Vol. 277, pp. F650-F663, 1999
	AFFFF	Grobstein, et al., "Inductive Epithelio-mesenchymal Interaction in Cultured Organ Rudiments of the Mouse", <u>Science</u> , Vol. 118, No. 3053, pp. 52-55, July 3, 1953
	AGGGG	Grobstein, "Morphogenetic Interaction between Embryonic Mouse Tissues separated by a Membrane Filter", <u>Nature</u> , Vol. 172, pp. 869-871, July 4, 1953-December 26, 1953
	AHHHH	Grobstein, et al., "Inductive Interaction in the Development of the Mouse Metanephros", <u>The Journal of Experimental Zoology</u> , Vol. 130, pp. 319-339, October, November, December, 1955
	AIIII	Saxen, <u>Organogenesis of the Kidney</u> , (table of contents) Cambridge University Press, Cambridge, 1987
	AJJJJ	Davies, et al., "Inductive Interactions between the Mesenchyme and the Ureteric Bud", <u>Experimental Nephrology</u> , Vol. 4, pp. 77-85, March-April, 1996
	AKKKK	Vainio, et al., "Inductive Tissue Interactions, Cell Signaling and the Control of Kidney Organogenesis", <u>Cell</u> , Vol. 90, pp. 975-978, September 19, 1997
	ALLLL	Schofield, et al., "Growth Factors and Metanephrogenesis", <u>Experimental Nephrology</u> , Vol. 4, pp. 97-104, March-April, 1996
	AMMMM	Nigam, "Determinants of branching tubulogenesis", <u>Current Opinion in Nephrology and Hypertension</u> , Vol. 4, No. 3, pp. 209-214, 1995
	ANNNN	Sakurai, et al., "In vitro branching tubulogenesis: Implications for developmental and cystic disorders, nephron number, renal repair, and nephron engineering", <u>Kidney International</u> , Vol. 54, pp. 14-26, 1998
	AOOOO	Schuchardt, et al., "Defects in the kidney and enteric nervous system of mice lacking the tyrosine kinase receptor Ret", <u>Nature</u> , Vol. 367, pp. 380-383, January 27, 1994
	APPPP	Durbec, et al., "GDNF signalling through the Ret receptor tyrosine kinase", <u>Nature</u> , Vol. 381, No. 6585, pp. 789-793, June 27, 1996

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	AQXXX	Sanchez, et al., "Renal agenesis and the absence of enteric neurons in mice lacking GDNF", <u>Nature</u> , Vol. 382, No. 6586, pp. 70-73, July 4, 1996
	ARRRR	Pichel, et al., "Defects in enteric innervation and kidney development in mice lacking GDNF", <u>Nature</u> , Vol. 382, No. 6586, pp. 73-76, July 4, 1996
	ASSSS	Moore, et al., "Renal and neuronal abnormalities in mice lacking GDNF", <u>Nature</u> , Vol. 382, No. 6586, pp. 76-79, July 4, 1996
	ATTTT	Pepicelli, et al., "Rapid Communication GDNF Induces Branching and Increased Cell Proliferation in the Ureter of the Mouse", <u>Developmental Biology</u> , Vol. 192, pp. 193-198, 1997
	AUUUU	Sakurai, et al., "An <i>in vitro</i> tubulogenesis system using cell lines derived from the embryonic kidney shows dependence on multiple soluble growth factors", <u>Proc. Natl. Acad. Sci.</u> , Vol. 94, pp. 6279-6284, June, 1997
	AVVVV	Cantley, et al., "Regulation of mitogenesis, motogenesis, and tubulogenesis hepatocyte growth factor in renal collecting duct cells", <u>American Journal of Physiology</u> , Vol. 267, No. 2, pp. F271-F280, August, 1994
	AWWWW	Barros, et al., "Differential tubulogenic and branching morphogenetic activities of growth factors: Implications for epithelial tissue development", <u>Proc. Natl. Acad. Sci.</u> , Vol. 92, pp. 4412-4416, May, 1995
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